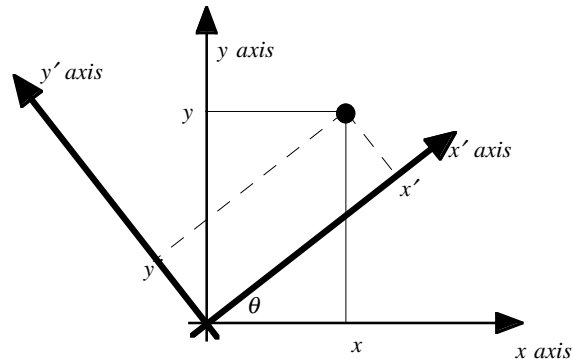
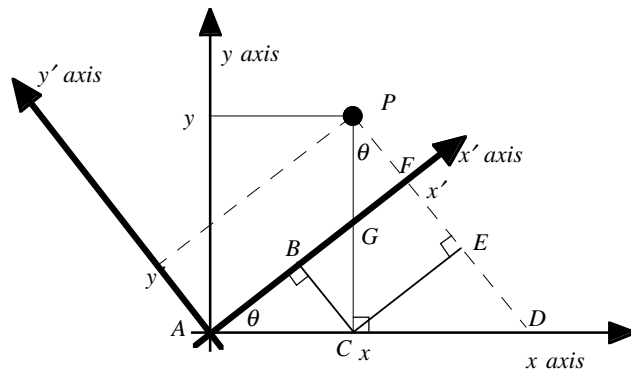


## Rotation Matrices

Consider a rotation of the coordinate axes of the  $x$ - $y$  plane about the origin by an angle  $\theta$ . We are interested in finding the coordinates  $(x', y')$  of a point as measured in the new coordinate frame.



We determine the transformation by the following constructions:



The new  $x$ -coordinate is

$$x' = AB + BF \tag{1}$$

The old  $x$ -coordinate

$$x = AC \tag{2}$$

By definition of the cosine,

$$\cos \theta = \frac{AB}{AC} = \frac{AB}{x} \tag{3}$$

so that

$$AB = x \cos \theta \tag{4}$$

Thus (use 4 in 1)

$$x' = x \cos \theta + BF \tag{5}$$

Consider the right triangle  $ACG$ . Then because all of the angles in a triangle sum to  $\pi$ ,

$$\text{angle } AGC = \pi / 2 - \theta \tag{6}$$

Thus in the right triangle  $BCG$

$$\text{angle } GCB = \pi / 2 - \text{angle}(AGC) = \pi / 2 - (\pi / 2 - \theta) = \theta \tag{7}$$

Since  $BC$  is parallel to  $PD$

$$\text{angle}(EPC) = \text{angle}(GCB) = \theta \tag{8}$$

From triangle  $EPC$ ,

$$\sin \theta = \frac{CE}{PC} = \frac{CE}{y} = \frac{BF}{y} \quad (9)$$

Thus

$$BF = y \sin \theta \quad (10)$$

Substituting (10) into (5),

$$x' = x \cos \theta + y \sin \theta \quad (11)$$

**Exercise:** Show that

$$y' = y \cos \theta - x \sin \theta \quad (12)$$

Combining equations (11) and (12) we have

$$\begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} x \cos \theta + y \sin \theta \\ y \cos \theta - x \sin \theta \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

The matrix

$$R(\theta) = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

is called a rotation matrix.

**Exercise:** Using a geometric argument, what is the rotation matrix that gives  $(x, y)$  in

terms of  $(x', y')$ , i.e., try to find  $S$  so that  $\begin{pmatrix} x \\ y \end{pmatrix} = S \begin{pmatrix} x' \\ y' \end{pmatrix}$ ?

**Exercise:** What is  $R(\theta)R(-\theta)$

**Exercise:** What is  $R^{-1}$  (the inverse of  $R(\theta)$ )?

Now consider a point  $P = (x, y, z)$  in 3-dimensional space. Suppose we rotate the x-y plane around the z-axis by an angle  $\theta$  and call the new coordinates  $(x', y', z')$ . Since we are rotating about the z-axis, the value of  $z$  does not change, and the values of  $x'$  and  $y'$  are exactly the same as the ones we calculated above! Hence

$$x' = x \cos \theta + y \sin \theta$$

$$y' = y \cos \theta - x \sin \theta$$

$$z' = z$$

We can also write this in matrix form as

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta & 0 \\ -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} = R_z(\theta) \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

where

$$R_z(\theta) = \begin{pmatrix} \cos \theta & \sin \theta & 0 \\ -\sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

is the rotation matrix for an angle  $\theta$  about the  $z$  axis.

**Exercise.** Convince yourself that the rotation matrices for rotations about the  $x$  and  $y$  axes are given correctly by

$$R_x(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & \sin\theta \\ 0 & -\sin\theta & \cos\theta \end{pmatrix}$$

$$R_y(\theta) = \begin{pmatrix} \cos\theta & 0 & \sin\theta \\ 0 & 1 & 0 \\ -\sin\theta & 0 & \cos\theta \end{pmatrix}$$

Now consider the effects of compound rotations: suppose that we first rotate by  $\alpha$  about the  $z$  axis,

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = R_z(\alpha) \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

we then rotate by  $\beta$  about the  $x'$  axis, giving us a third set of coordinates  $(x'', y'', z'')$ .

$$\begin{pmatrix} x'' \\ y'' \\ z'' \end{pmatrix} = R_{x'}(\beta) \begin{pmatrix} x' \\ y' \\ z' \end{pmatrix}$$

What is the relationship between the first and third set of coordinates?

$$\begin{pmatrix} x'' \\ y'' \\ z'' \end{pmatrix} = R_{x'}(\beta) \begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = R_{x'}(\beta) R_z(\alpha) \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

In general, the rotation matrix for a any sequence of rotations is just the product of the rotations in the reverse order. Fortunately, due to a theorem by Euler, we rarely have to multiply more than three rotation matrices together.

**Euler's Theorem.**

- (1) The most general rotation of a rigid body (vector) with one point fixed is a rotation about some axis.
- (2) Any sequence of rotations about the coordinate axes can be described by a single rotation matrix which is the product of the individual rotation matrices.
- (3) Any rotation can be described by a product of at most three rotations about successive coordinate axes. The three angles are called *Euler Angles*.

**Remark.** There sequence of rotations is not unique, i.e., you can get the same rotation in several different ways:

- (a) A 3-1-3 rotation: rotate by  $\alpha$  about  $z$ ,  $\beta$  about  $x'$  and  $\gamma$  about  $z''$
  - (b) A 1-2-3 rotation: rotate by  $\alpha'$  about  $x$ ,  $\beta'$  about  $y'$ , and  $\gamma'$  about  $z''$
- etc.